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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

of	
	ntor(s)
for	
Title of i	nvention
О	PR
In re application of: Prasad K. DESHPANDE,	
Serial No.: 10/749,932	Group No.: 1614
Filed: December 31, 2003	Examiner: N/A
For: BENZOQUINOLIZINE-2-CARBOXYLIC	C ACID ARGININE SALT TETRAHYDRATE
Commissioner for Patents	
P. O. Box 1450	
Alexandria, VA 22313-1450	
TRANSMITTAL OF INFORMAT	ION DISCLOSURE STATEMENT
	NTHS OF FILING OR
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* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

NOTE: 37 C.F.R. 1.98(b): (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date. Each U.S. patent application published listed in an information disclosure statement (2) shall be identified by applicant, patent application publication number, and publication Each U.S. application listed in an information disclosure statement must be identified (3) by the inventor, application number, and filing date. Each foreign patent or published foreign patent application listed in an information (4) disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. Each publication listed in an information disclosure statement must be identified by (5) publisher, author (fi any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
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NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

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SIGNATURE OF PRACTITIONER

Reg. No. 33,778

Tel. No.: (212) 708-1935

Customer No.: 01400

Janet I. Cord
(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP 26 West 61st Street New York, N.Y. 10023





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Prasad K. **DESHPANDE**, et al.

Serial No.:

10/749,932

Group No.

1614

Filed: December 31, 2003

Examiner:

N/A

For:

BENZOQUINOLIZINE-2-CARBOXYLIC ACID ARGININE SALT

TETRAHYDRATE

Attorney Docket No.: U 014681-4

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached references which are also listed on the attached Form PTO-1449.

Respectfully submitted.

JANET I. CORD LADAS & PARRY LLP 26 WEST 61ST STREET NEW YORK, NEW YORK 10023 REG. NO. 33,778 (212) 708-1935

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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ATTY. DOCKET NO.	SERIAL NO.
U 014681-4	10/749,932
APPLIC	ANT
Prasad K. Desh	pande, et al.
FILING DATE	GROUP
12/31/2003	1614

RE	FEREN	CE DESIGNATION	U	.S. PATENT DOCU	MENTS		
EXAMINER 'INITIAL		DOCUMENT NUMBER	DATE	NA	.ME	FILING DATE IF APPROPRIATE	
	AA	4,563,459	01/1986	Groher et al.			
	AB	3,845,770	11/1974	Theeuwes et al.			
	AC	3,916,899	11/1975	Theeuwes et al.			
	AD	3,536,809	10/1970	Applezweig			
	AE	3,598,123	08/1971	Zaffaroni			
	AF	4,008,719	02/1977	Theeuwes et al.			
	AG	6,514,986	02/2003	de Souza et al.			
	АН	6,136,806	10/2000	Hittel			
	<u> </u>		FOREIGN PATE	NT DOCUMENTS			
		DOCUMENT			TRAN	SLATION	
		NUMBER	DATE	COUNTRY	YES	NO	
-	AJ	9414794	07/1994	wo		×	
	AK	6-145167	05/1994	JP		X	
	AL	9415938	07/1994	wo			
	АМ	98/58923	12/1998	wo		×	
 		OTHER ART	(Including Autho	r, Title, Date, Pertir	nent Dates, Etc.)		
	AO	Asahina, Y. et al. "R Res. (1992), 38: 57-		ructure activity relat	tionships in new quir	nolones", <i>Prog. Drug</i>	
Japanese patent applic			ication 6-145167, da	nted May 24, 1994			
	ΔΩ	Cooper, C.S. et al. "Preparation and in Vitro and in Vivo Evaluation of Quinolones with Selective Activity against Gram Positive Organisms", <i>J. Med. Chem.</i> 1392-1398					
	AR English abstract of WO 98/58923, dated December 30, 1998 English abstract of WO 94/14794, dated July 7, 1994 AS						
	ΑТ	Chemical Abstracts 1	36:147733				
EXAMINER	L	L		DATE	CONSIDERED		

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U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	OTHER ART (Including Author, Title, Date	e, Pertinent Dates, Etc.)	
AA	Chemical Abstracts 134:141378		
AB	Chemical Abstracts 134:141377		
AC	Chemical Abstracts 135: 300896		
AD	Chemical Abstracts 130: 322834		
AE	Chemical Abstracts 129:339430		
AF	Chemical Abstracts 129:310840		
AG	Chemical Abstracts 129:245024		
АН	Chemical Abstracts 129: 239390		-
AI	Chemical Abstracts 127: 358825	-	
AJ	Chemical Abstracts 123: 164953	-	
AK	Chemical Abstracts 124: 86783		
AL	Chemical Abstracts 124: 170377		
AM	Chemical Abstracts 124:343084		
AN	Chemical Abstracts 127: 302924		
АО	Chemical Abstracts 131: 153420		
AP	Chemical Abstracts: 136:2738		
EXAMINER	1	DATE CONSIDERED	

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U. S DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. **FORM PTO-1449** PATENT AND TRADEMARK OFFICE U 104681-4 10/749,932 INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Prasad K. Deshpande, et al. **GROUP** (Use several sheets if necessary) FILING DATE 1614 12/31/2003 U.S. PATENT DOCUMENTS REFERENCE DESIGNATION **EXAMINER** FILING DATE IF DOCUMENT · INITIAL NAME **APPROPRIATE** DATE NUMBER AA 6,329,391 12/2001 Ledoussal et al. AB 4,382,892 05/1983 Hayakawa et al. AC 5,859,026 01/1999 Ito et al. 05/1999 Miyake et al. AD 5,889,009 09/2000 Takemura et al. ΑE 6,121,285 02/2001 Takemura et al. AF 6,184,388 10/1976 AG 3,984,403 Fujisawa et al. FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT COUNTRY NUMBER DATE YES NO Х AΗ 0394553 06/1995 EΡ EP ΑI 0572259 12/1993 EP 10/1988 AJ 0287951 **OTHER** ART (Including Author, Title, Date, Pertinent Dates, Etc.) European Patent Specification 0394553 B1, dated June 21, 1995 ΑK Alsina J. et al. "Active Carbonate Resins for Solid-Phase Synthesis Through the Anchoring of a Hydroxyl Function. Synthesis of Cyclic and Alcohol Peptides", Tetrahedron Letters, (1997), 38(5): 883-ΑL 886 Edwards W.B. et al. "Generally Applicable, Convenient Solid-Phase Synthesis and Receptor Affinities of Octreotide Analogs", J. Med. Chem., (1994), 37(22): 3749-3757 Dhople, A.M. and M.A. Tbanez. "In vivo susceptibility of mycobacterium leprae to ofloxacin either singly or in combination with Rifampicin and Rifabutin", Arzneimittel-Forschung,, (1994), 44(4): 563-ΑN Haustein U.F. et al. "Topical quinolone nadifloxacin (OPC-7251) in bacterial skin disease: clinical evaluation in a multicenter open trial and in vitro antimicrobiological susceptibility testing", ΑO J. Dermatological Treatment, (1997), 8: 87-92 Hashimoto, Koji et al. "A Practical Synthesis of (S)-(-)-Nadifloxacin: Novel Acid-Catalyzed Racemization AΡ of Tetrahydroquinaldine Derivative", Chem. Pharm. Bull., (1996), 44(4): 642-645 Irish, D. et al. "Control of an outbreak of an epidemic methicillin-resistant Staphylococcus aureus also ΩA resistant to mupirocin", J. Hospital Infection, (1998), 39: 19-26 DATE CONSIDERED **EXAMINER** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through **EXAMINER:** citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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ľ	APPLIC	ANT
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RE	EREN	CE DESIGNATION	U	.S. PATENT DOCUM	MENTS	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NA	ME	FILING DATE IF APPROPRIATE
	AA	4,642,355	02/1987	Nakamura et al.		
V	АВ	4,051,247	09/1977	Schuppan et al.		
	AC	3,924,042	12/1975	Gerster et al.		
	AD	3,985,882	10/1976	Gerster et al.		
	AE	4,399,134	08/1983	Ishikawa et al.		
	AF	4,404,207	09/1983	Stern et al.		
	AG	4,416,884	11/1983	Ishikawa et al.		
			FOREIGN PATE	NT DOCUMENTS		
		DOCUMENT			TRA	NSLATION
		NUMBER	DATE	COUNTRY	YES	NO
	АН	0304087	02/1989	EP		
	ΑI	0241206	10/1987	EP		
	AJ	9914214	03/1999	wo		
		OTHER ART	(Including Autho	r, Title, Date, Pertin	ent Dates, Etc.)	
	AK	Kido, M. et al. "Crys (1994), 42(4):872-87		ifloxacin Anhydride	and its Hemihydrat	e", Chem. Pharm. Bul
	AL	Takahashi et al. "Red Nadifloxacin during S	duction of in vitro Cla torage", <i>ArzneimFo</i>	stogenicity Induced rsch./Drug Res., (19	by the Mixture of (195), 45(1): 195-19	Optical Isomers of
	АМ	Sloan et al. "Definition Physics and Chemistr Publishers, London. 1	y of the Organic Soli	high purity of organ d State, eds. D. Fox	ic compounds", Ch , Labes and Weissb	apter 2, 179-182, erer. Interscience
	AN	Morita, S. et al. "An Asymmetry, (1995),		f a key determinate t	towards (<i>S</i>)-(-)-Nad	ifloxacin", <i>Tetrahedro</i>
	ΑО	Morita, S. et al. "Sylhydroxy-1-piperidyl)-! <i>Pharm.Bull.</i> , (1990),	5-methyl-1-oxo-1 <i>H</i> ,5 <i>H</i>	rial Activity of the N benzo(/,/)quinolizin	Metabolites of 9-Flu e-2-carboxylic acid	oro-6,7-dihydro-8-(4- (OPC-7251)", <i>Chem.</i>
	AP	Ishikawa, H. et al. "S 1 <i>H</i> ,5 <i>H</i> -benzo[<i>i,j</i>]-quin	Studies on Antibacter olizine-2-carboxylic a	ial agents. I. Synthe cids", <i>Chem. Pharm</i>	sis of Substituted 6 . Bull., (1989), 37(6,7-Dihydro-1-oxo- 8): 2103-2108
	ΔQ	English translation of Cream", <i>Iyakuhin Kei</i>			n vitro drug efficac	y of Nadifloxacin
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REF	EREN	CE DESIGNATION	U	.S. PATENT DOCUM	MENTS	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NA	ME	FILING DATE IF APPROPRIATE
	AA	4,443,447	04/1984	Gerster et al.		
	AB	4,472,406	09/1984	Gerster et al.		
	AC	4,472,407	09/1984	Stern et al.		
	AD	4,535,161	08/1985	Hayakawa et al.		
	AE	4,552,879	11/1985	Ishikawa et al.		
	AF	4,594,347	06/1986	Ishikawa et al.		
	AG	4,599,418	07/1986	Irikura et al.		
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		DOCUMENT			TRAI	NSLATION
		NUMBER	DATE	COUNTRY	YES	NO
	АН	02131483	05/1990	JP		
	ΑI	02188589	07/1990	JP		
-	AJ	0018404	04/2000	wo		
		OTHER ART	(Including Autho	r, Title, Date, Pertin	ent Dates, Etc.)	
	AK	English abstract of Ja	apanese patent 0213	1483, dated May 21	, 1990	
	AL	English abstract of Ja	panese patent 0218	8589, dated July 24	, 1990	
	AM	English translation of Nadifloxacin cream",				lotion and 1% of
-	AN	Berge, Stephen M. et al. "Pharmaceutical Salts", J. Pharmaceutical Sciences, (1977), 66(1): 1-19				
	AO	Abstract of Koike, M.	et al. <i>Iyakuhin Kenk</i>	kyu, (1990), 21(5): 9	998-1021	
	AP	Abstract of Koike, M.	. et al. <i>lyakuhin Kenk</i>	kyu, (1990), 21(5):	1022-1033	
_	AQ	Abstract of Fujita, S.	et al. <i>Iyakuhin Kenk</i>	yu, (1990), 21(6): 1	156-1176	
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SERIAL NO.

ATTY. DOCKET NO. **U 014681-4**

10/749,932

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Prasad K. Deshpande, et al.

FILING DATE GROUP

APPLICANT

12/31/2003 1614 U.S. PATENT DOCUMENTS REFERENCE DESIGNATION **EXAMINER** FILING DATE IF DOCUMENT INITIAL NAME **APPROPRIATE** DATE NUMBER 04/1989 Domagala et al. AA 4,822,801 03/1992 Domagala et al. AΒ 5,097,032 09/1991 Nagano et al. AC 5,051,509 ΑD 5,607,942 03/1997 Petersen et al. ΑE 5,677,316 10/1997 Ao et al. ΑF 4,777,175 10/1988 Culbertson et al. Ueda et al. ΑG 4,874,764 10/1989 FOREIGN PATENT DOCUMENTS **TRANSLATION DOCUMENT NUMBER** DATE COUNTRY YES NO Х 11/1997 wo AΗ 9744034 JP ΑI 05339238 12/1993 05/1983 JP 58090511 (Including Author, Title, Date, Pertinent Dates, Etc.) OTHER ART English abstract of WO 97/44034, dated November 27, 1997 ΑK English abstract of JP 5339238, dated December 21, 1993 ΑL English abstract of JP 58090511, dated May 30, 1983 AΜ Abstract of Matsuzawa, A. et al. Iyakuhin Kenkyu, (1990), 21(4): 663-670 ΑN Abstract of Hashimoto, K. et al. Iyakuhin Kenkyu, (1990), 21(4): 671-677 AO Abstract of Furukawa, M. et al. Iyakuhin Kenkyu, (1990), 21(5): 989-997 AΡ Abstract of Yoshimura, S. et al. Iyakuhin Kenkyu, (1990), 21(5): 1034-1052 ΑQ

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,	AA	4,563,459	01/1986	Groher et al.		
-	АВ	3,845,770	11/1974	Theeuwes et al.		
	AC	3,916,899	11/1975	Theeuwes et al.		
	AD	3,536,809	10/1970	Applezweig		
	ΑE	3,598,123	08/1971	Zaffaroni		
	AF	4,008,719	02/1977	Theeuwes et al.		
	AG	5,436,367	07/1995	lwata et al.		
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		NUMBER	DATE	COUNTRY	YES	NO
	АН	63192753	08/1988	JP		
	ΑI	57081486	05/1982	JP		
	AJ	57176987	10/1982	JP		
		OTHER ART	(Including Auth	or, Title, Date, Pertin	ent Dates, Etc.)	
	AK	English abstract of JF	63192753, dated	August 10, 1988		
	AL	English abstract of JF	57081486, dated	May 21, 1982		
	АМ	English abstract of JF	57176987, dated	October 30,1982		
	AN	Abstract of Nakagiri, N. et al. Iyakuhin Kenkyu, (1990), 21(6): 1144-1155				
	AO	Abstract of Aoki, M.	et al. <i>Iyakuhin Kenk</i>	cyu, (1990), 21(6): 1	177-1202	
	AP	Abstract of Matsuzav	va, A. et al. <i>Iyakuhi</i>	in Kenkyu, (1991), 22	2(1): 61-76	
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